



PATENT

Serial No: 10/627,873 Docket No: 10392-460041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Steven M.H. Wallman

Application No. :

10/627,873

Filed

July 28, 2003

For:

METHOD AND APPARATUS FOR ENABLING

INDIVIDUAL OR SMALLER INVESTORS OR

OTHERS TO CREATE AND MANAGE A PORTFOLIO

OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS

Group

3694

Confirmation No: 3618

Examiner

Susanna M. Meinecke Diaz

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir

In response to the Restriction Requirement dated June 5, 2007, Applicant hereby elects Group II, with claim 2 readable thereon. The Examiner is invited to contact the undersigned at (202) 220-4226 to discuss any matter concerning this application.

The Office is authorized to charge any fees due under 37 CFR §§§ 1.16, 1.17 or 1.136 or credit any overpayments to Deposit Account 11-0600.

Respectfully submitted.

Dated: July 3, 2007

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